

Falconer-type problems for dot products

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July 16, 2024

Background

Discrete viewpoint
Fractal setting

Results

Distances
Dot products

Notation

Asymptotics. Let X and Y depend on an integer parameter n .

- ▶ We write $X \lesssim Y$ when there exists a constant C , independent of n , such that $X \leq CY$, for all sufficiently large n , or $X = O(Y)$.

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- ▶ We write $X \lesssim\lesssim Y$ when for every $\epsilon > 0$, there exists a number, C_ϵ , independent of n , such that $X \leq C_\epsilon n^\epsilon Y$.
- ▶ With the symbol $\lesssim\lesssim$, we are typically burying logarithmic factors.

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- ▶ See Brass, Moser, Pach for more.

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$$u_3(n) \lesssim n^{\frac{4}{3}}.$$

- ▶ **Thm:** (Zahl, 2017) For any $\epsilon > 0$,

$$u_3(n) \lesssim n^{\frac{295}{197} + \epsilon}.$$

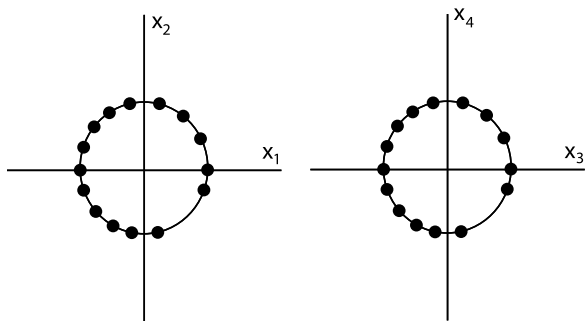
Unit distance problem for $d \geq 4$ 

Figure: In dimensions 4 and up, there can be $\gtrsim n^2$ unit distances. Counterexample due to Lenz: $n/2$ points on the unit circle in the first two dimensions, the rest on a unit circle in the next two dimensions. Note that this is a “low-dimensional” set in higher dimensions.

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- ▶ Simple (“low-dimensional”) construction shows the bound is n^2 in dimensions three and higher.

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- ▶ This was proved by Guth and Katz in 2010.

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- ▶ **Thm:**(Hanson, Roche-Newton, S., 2021) Improved the exponent to $\frac{2}{3} + \frac{1}{2739}$.

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Devil's dartboard sketch

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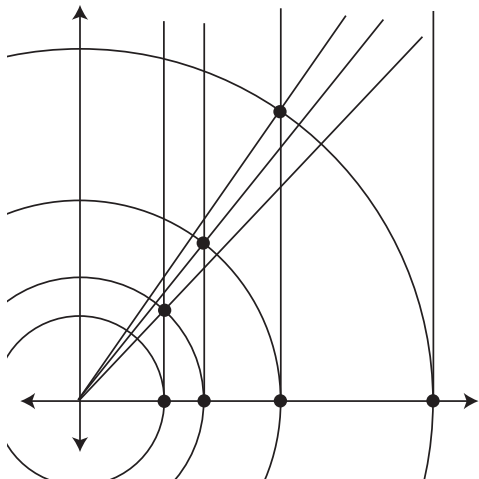
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- ▶ Rotate and scale so that $(1, 0)$ is in our set.

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$$\Pi(P) = \{(a, sa) \cdot (a', s'a')\} = \{aa' + ss'aa'\} = AA(1 + SS)$$

Multiplicative structure of $(1 + SS)$



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- ▶ $|\Pi(P)| \sim |AA(1 + SS)|$, $|A| \sim n^{\frac{2}{3}}$, $|S| \sim n^{\frac{1}{3}}$
- ▶ Note, if $|BB| \sim |B|$, then B is “like” a geometric progression.
- ▶ So if $n^{\frac{2}{3}}$ is sharp, then $(1 + SS)$ behaves like a geometric progression.
- ▶ The crux is showing that $(1 + SS)$ cannot behave like a geometric progression, using Plünnecke, Garaev-Shen, Rudnev-Stevens, and “Solymosi squeeze” for convex sets.

Quick note about finite fields/rings

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- ▶ ... meaning that each dot product has essential equal representation...
- ▶ ... but we have no idea how to exploit this.

Falconer distance problem

- ▶ Given a compact subset $E \subset \mathbb{R}^d$, define $\Delta(E)$ to be the set of distances determined by pairs of points in E , that is:

$$\Delta(E) = \{|x - y| : x, y \in E\}.$$

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- ▶ Falconer proved $s > \frac{d+1}{2}$ initially. In the plane, the current record is $s > \frac{5}{4}$, due to Guth, Iosevich, Ou, and Wang. Higher dimensional results in various papers by these authors and Du, Ren, Wilson, and Zhang.

Falconer's estimate

- ▶ Define the **Riesz potential** of a measure μ to be:

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- ▶ In the paper introducing his eponymous distance problem (How large of a Hausdorff dimension guarantees a positive measure of distinct distances?), Falconer proved that if $\dim_{\mathcal{H}} \text{supp}(\mu) = s > \frac{d+1}{2}$, then for any $\epsilon > 0$,

$$I_s(\mu) < \infty \Rightarrow (\mu \times \mu)\{(x, y) : 1 \leq |x - y| \leq 1 + \epsilon\} \lesssim \epsilon.$$

Euclidean distance

Theorem (Mattila, 1987)

When $d = 2$, there exists a measure μ that will fail the analog of Falconer's estimate for $s < \frac{d+1}{2}$.

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- ▶ Cartesian product of a Cantor set and an interval.
- ▶ Extended to $d = 3$ by Iosevich, and S. in 2010.
- ▶ Unclear how to extend to higher dimensions.

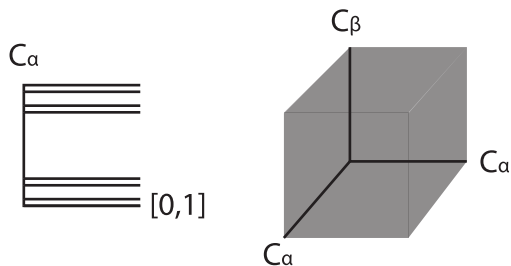
Mattila-type construction for $d = 2, 3$ 

Figure: In dimension 2, we have $[0, 1] \times \mathcal{C}_\alpha$. In dimension 3, we have $\mathcal{C}_\alpha \times \mathcal{C}_\alpha \times \mathcal{C}_\beta$.

Non-Euclidean distance

Theorem (Iosevich, S., 2010–2016)

There exists a centrally symmetric convex body B with smooth boundary and non vanishing curvature and a measure μ such that distances measured by dilates of B will fail the analog of Falconer's estimate for $s < \frac{d+1}{2}$.

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- ▶ Based on a parabolic construction due to Valtr in 2005.
- ▶ Discrete-fractal conversion mechanism - Hofmann, Iosevich, Jorati, Łaba, Uriarte-Tuero.

Valtr's construction

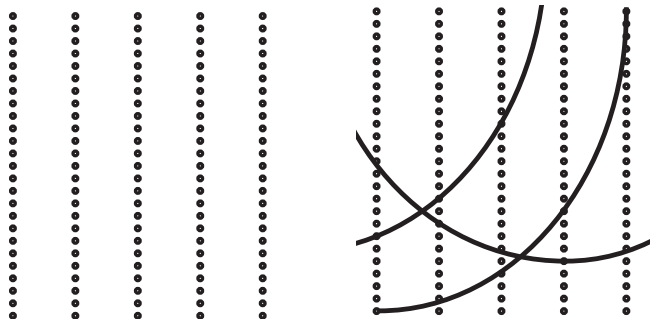


Figure: For stage n , set $m = n^{\frac{1}{3}}$. The points have coordinates $(\frac{i}{m}, \frac{j}{m^2})$, for $i = 1 \dots m$ and $j = 1 \dots m^2$. The “circles” are parabolic arcs glued together. The limit of this construction will be the support of the measure μ .

Falconer-type dot product problem

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- ▶ Partial results ($s > \frac{d+1}{2}$): Eswarathasan, Iosevich, Palsson, Taylor, Uriarte-Tuero, etc., avoiding fractal devil's dartboard.

Dot products in two dimensions

Theorem (Eswarathasan, Iosevich, Taylor, 2010)

For any $s < \frac{3}{2}$, there exists a measure μ on $[0, 1]^2$ with $\dim_{\mathcal{H}} \text{supp}(\mu) = s$, that will fail the analog of Falconer's estimate for dot products.

- ▶ In $[0, 1]^2$, for $s < \frac{3}{2}$,

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- ▶ Similar to Mattila's construction.

Dot products in higher dimensions

Theorem (Iosevich, S., 2020)

For any $s < \frac{d+1}{2}$, there exists a measure μ on $[0, 2]^d$ with $\dim_{\mathcal{H}} \text{supp}(\mu) = s$, that will fail the analog of Falconer's estimate for dot products.

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- ▶ Based on the Valtr construction, but with more arithmetic complexity, and tougher energy estimates.

Dot product construction

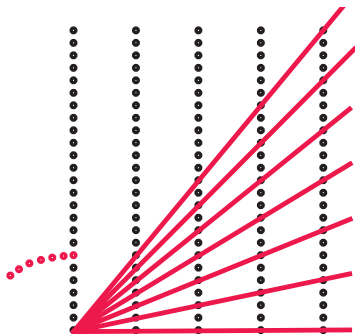


Figure: Similar to the Valtr construction, but now we need to intersect points with lines. Here we have a family of m red lines, of m slopes. These red lines are the set of points that have dot product one with the red points.

Pinned dot products

$$\Pi_x(E) = \{x \cdot y : y \in E\}.$$

Theorem (Iosevich, Taylor, Uriarte-Tuero, 2016)

For any $E \subseteq \mathbb{R}^d$, with $\dim E = s > \frac{d+1}{2}$, the Lebesgue measure of $\Pi_x(E)$ is positive.

Edge weighted trees



Figure: Trees are acyclic connected graphs. These two trees have the same shape, but different weights.

Continuous trees - distances

$$\Delta_x(E) = \{|x - y| : y \in E\}.$$

Theorem (Ou and Taylor, 2020)

Let $E \subseteq \mathbb{R}^2$ be a compact set satisfying $\dim_{\mathcal{H}}(E) > \frac{5}{4}$, then there exists a point $x \in E$ such that for all integers $k \geq 2$, we have that any k -tree T of any shape pinned at any vertex has a positive k -dimensional Lebesgue measure of distinct edge weights determined by distances.

Continuous trees - dot products

Corollary (Bright, Marshall, S., 2023+)

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- ▶ Uses Orponen-Shmerkin-Wang.

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Let $E \subseteq \mathbb{R}^2$ be a compact set satisfying $\dim_{\mathcal{H}}(E) > \frac{3}{2}$, then there exists a point $x \in E$ such that for all integers $k \geq 2$, we have that any k -tree T of any shape pinned at any vertex has a positive k -dimensional Lebesgue measure of distinct edge weights determined by dot products.

- ▶ Uses Orponen-Shmerkin-Wang.
- ▶ Nadjimzadah proved an unpinned version in 2022.

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- ▶ Nadjimzadah proved an unpinned version in 2022.
- ▶ Simple proof for Ahlfors regular by modifying Moser (1952).

Dot product trees in higher dimensions

Corollary (Bright, Marshall, S., 2023+)

For any $s < \frac{d+1}{2}$, and tree T on k edges, there exists a measure μ on $[0, 2]^d$ with $\dim_{\mathcal{H}} \text{supp}(\mu) = s$, and a set of edge weights \vec{w} so that the analog of Falconer's estimate for T with dot product edge weights will fail.

- ▶ In $[0, 1]^d$, for $s < \frac{d+1}{2}$, $I_s(\mu) < \infty \not\Rightarrow$

$$\mu^{k+1} \{(x_j, y_j) : w_j \leq x_j \cdot y_j \leq w_j + \epsilon, j = 1, \dots, k\} \lesssim \epsilon^k.$$

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- ▶ Uses the construction in Iosevich and S., (2020).

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For any $s < 1$, and a **path** T on k edges, there exists a measure μ on $[0, 2]^2$ with $\dim_{\mathcal{H}} \text{supp}(\mu) = s$, and a set of edge weights \vec{w} so that the analog of Falconer's estimate for T with dot product edge weights will fail.

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- ▶ Can be slightly more general than paths.
- ▶ Related to work by Barker and S., later improved by Lund.

THANKS! ^_^